

**Math 565: Functional Analysis****HOMEWORK 4****Due: Mar 10, 23:59**

1. (a) Prove that every finite-dimensional normed vector space  $(X, \|\cdot\|)$  is isomorphic to  $(\mathbb{C}^n, \|\cdot\|_1) =: \ell^1(n)$  for some  $n \in \mathbb{N}$  as normed vector spaces, i.e. there is a vector space isomorphism  $\varphi : X \rightarrow \mathbb{C}^n$  so that  $\varphi, \varphi^{-1}$  are continuous (equivalently, bounded).

REMARK: Recall that all the  $\ell^p$  norms on  $\mathbb{C}^n$  are Lipschitz equivalent to each other for all  $1 \leq p \leq \infty$ . I just chose the  $\ell^1$  norm for convenience.

HINT: Fix a linear basis  $x_0, x_1, \dots, x_{n-1}$  for  $X$  of normal (norm 1) vectors and set  $\varphi(x_i) := e_i$ , so immediately  $\|x\| \leq \|\varphi(x)\|_1$  for all  $x \in X$ . For the other inequality, let  $X_i$  denote the span of  $\{x_0, x_1, \dots, x_{n-1}\} \setminus \{x_i\}$  and suppose by induction that  $X_i$  is isomorphic to  $\mathbb{C}^{n-1}$ , and is hence closed in  $X$  (why?), so  $\delta_i := \|x_i + X_i\| = \text{dist}(x_i, X_i) > 0$ . Realize that  $\|x\| \geq |\alpha_i| \delta_i$  for each  $x = \sum_{i < n} \alpha_i x_i$  and  $i < n$ .

- (b) Deduce that every finite dimensional subspace of an infinite dimensional normed vector space is closed and nowhere dense.
- (c) Finally, conclude that an infinite dimensional Banach space does not have a countable linear (Hamel) basis. In particular, the space  $\mathbb{C}[t]$  of polynomials is not a Banach space under any norm.
2. [Optional] Prove that there is a dense  $G_\delta$ , hence comeagre, subset of  $\mathbb{R}$  which is Lebesgue null. Prove more generally that a  $\mu$ -null dense  $G_\delta$  subset exists in every separable topological space  $X$  for every outer regular atomless Borel measure  $\mu$ .
3. Prove that lcH spaces are Baire.
4. [Optional] Follow the steps below to show that a generic function in  $C([0, 1])$  is nowhere differentiable, i.e. the set of nowhere differential functions is comeagre in  $C([0, 1])$ .
- (i) Prove that given  $m \in \mathbb{N}$ , any function  $f \in C([0, 1])$  can be approximated (in the uniform metric) by a piecewise linear function  $g \in C([0, 1])$ , whose linear pieces (finitely many) have slope  $\pm M$ , for some  $M \geq m$ .
- (ii) For each  $n \geq 1$ , let  $E_n$  be the set of all functions  $f \in C([0, 1])$ , for which there is  $x_0 \in [0, 1]$  (depending on  $f$ ) such that  $|f(x) - f(x_0)| \leq n|x - x_0|$  for all  $x \in [0, 1]$ . Show that  $E_n$  is nowhere dense using the fact that if  $g$  is as in (i) with  $m = 2n$ , then some open neighbourhood of  $g$  is disjoint from  $E_n$ .

5. Let  $X, Y$  be normed vector spaces and  $T \in B(X, Y)$ , so  $\ker T$  is a closed subspace of  $X$ . Let  $\pi : X \rightarrow X/\ker T$  be the quotient map. Prove:

- (a)  $T$  uniquely factors as  $T = \tilde{T} \circ \pi$ , where  $\tilde{T} \in B(X/\ker T, Y)$  and  $\|\tilde{T}\| = \|T\|$ .

HINT: For  $\|\tilde{T}\| = \|T\|$ , use that for each  $\varepsilon > 0$ , every coset  $C \in X/\ker T$  with  $\|C\| = 1$  admits a representative  $x \in C$  with  $1 \leq \|x\| \leq 1 + \varepsilon$ .

(b) **First Isomorphism Theorem for Banach spaces.** Let  $X, Y$  be Banach spaces and  $T \in B(X, Y)$ . Then  $T/\ker T$  is isomorphic<sup>1</sup> to  $T(X)$  if and only if  $T(X)$  is closed.

6. Follow the steps below to prove that every separable Banach space  $X$  is isomorphic to a quotient of  $\ell^1 := \ell^1(\mathbb{N})$  by a closed subspace.

(i) Let  $D \subseteq X$  be a countable dense subset of the closed unit ball  $B$  of  $X$ . Without loss of generality, we may assume that  $D$  is closed under doubling, i.e. if  $x \in D$  and  $2x \in B$ , then  $2x \in D$ . Enumerate  $D = (x_n)_{n \in \mathbb{N}}$  and define  $T : \ell^1 \rightarrow X$  by  $f \mapsto \sum_{n \in \mathbb{N}} f(n)x_n$ . Show that  $T$  is well-defined (i.e. the series  $\sum_{n \in \mathbb{N}} f(n)x_n$  converge) and bounded.

(ii) Prove that  $T$  is surjective.

HINT: Use the construction as in the last claim in the proof of the open mapping theorem to write  $x = \sum_{k \in \mathbb{N}} x_{n_k}$  such that  $2^k x_{n_k} \in B$  for each  $k \in \mathbb{N}$ .

(iii) Deduce that  $\ell^1/\ker T$  is isomorphic to  $X$ .

7. There is no slowest rate of decay of the terms of an absolutely convergent series. More precisely, there is no sequence  $(a_n) \in \ell^1$  of positive reals such that for each  $(x_n) \in \ell^1$ , the sequence  $(x_n/a_n)$  is bounded.

HINT: If such an  $(a_n)$  exists, then  $T : \ell^\infty \rightarrow \ell^1$  defined by  $Tf(n) := a_n f(n)$  is an isomorphism.

8. Let  $Y := C([0, 1])$  and  $X := C^1([0, 1])$ , both equipped with the uniform norm. Show that the differentiation map  $D : X \rightarrow Y : f \mapsto f'$  is closed but not bounded. Why is this happening?

9. Let  $X, Y$  be normed vector spaces. If  $T : X \rightarrow Y$  is a linear map such that  $f \circ T \in X^*$  for every  $f \in Y^*$ , then  $T$  is bounded.

HINT: Define a family  $\mathcal{F} \subseteq Y^{**}$  such that the boundedness of  $f \circ T$  is equivalent to  $\sup_{S \in \mathcal{F}} \|Sf\| < \infty$ .

CAUTION: Folland assumes that  $X, Y$  are Banach spaces, but I do not see where this assumption would be used. Please let me know if you think we need this assumption.

10. Let  $X$  be a topological vector space whose topology is generated by a countable family  $\{p_n\}_{n \in \mathbb{N}}$  of seminorms. Prove that  $X$  is metrizable by the translation-invariant metric

$$d(x, y) := \sum_{n \in \mathbb{N}} 2^{-n} \tilde{p}_n(x - y),$$

where  $\tilde{p}_n(z) := \min \{p_n(z), 1\}$  for  $z \in X$ .

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<sup>1</sup>Recall that an isomorphism of normed vector spaces is a linear homeomorphism, not necessarily an isometry.